

Wir investieren auch in US-amerikanische Zielfonds. Mit Hilfe des Formulars W-8BEN können wir unter bestimmten Voraussetzungen reduzierte US-Quellensteuersätze (0 bzw. 15 % statt 30 %) erreichen.

Form **W-8BEN**

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)

(Rev. October 2021)

Department of the Treasury
Internal Revenue Service

OMB No. 1545-1621

► For use by individuals. Entities must use Form W-8BEN-E.

► Go to www.irs.gov/FormW8BEN for instructions and the latest information.

► Give this form to the withholding agent or payer. Do not send to the IRS.

Do NOT use this form if:

- You are NOT an individual W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the United States (other than personal services) W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States 8233 or W-4
- You are a person acting as an intermediary W-8IMY

Instead, use Form:

Note: If you are resident in a FATCA partner jurisdiction (that is, a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

Part I Identification of Beneficial Owner (see instructions)

| | |
|---|---|
| 1 Name of individual who is the beneficial owner Max Mustermann | 2 Country of citizenship Austria (Land der Staatsbürgerschaft) |
| 3 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address. Musterstrasse 1 | |
| City or town, state or province. Include postal code where appropriate. 1234 Musterhausen | Country Austria (Land) |
| 4 Mailing address (if different from above) | |
| City or town, state or province. Include postal code where appropriate. | |
| 5 U.S. taxpayer identification number (SSN or ITIN), if required (see instructions) | |
| 6a <i>Steuernummer, wenn vorhanden; ansonsten 6b ankreuzen</i> | 6b <i>6b) ankreuzen, wenn keine Steuernummer vorhanden</i> |
| 6a Foreign tax identifying number (see instructions) | 6b Check if FTIN not legally required <input type="checkbox"/> |
| 7 Reference number(s) (see instructions) | 8 Date of birth (MM-DD-YYYY) (see instructions) 06-15-1960 (Geburtsdatum in amerikanischer Schreibweise) |

Part II Claim of Tax Treaty Benefits (for chapter 3 purposes only) (see instructions)

9 I certify that the beneficial owner is a resident of **Austria** within the meaning of the income tax treaty between the United States and that country. *

10 **Special rates and conditions** (if applicable—see instructions) of the treaty identified *** Ich bestätige hiermit, dass der wirtschaftliche Eigentümer ein Einwohner von Österreich im Sinne des Einkommensteuerabkommens zwischen den Vereinigten Staaten von Amerika und eben diesem Land ist.**

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: _____

Part III Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income or proceeds to which this form relates or am using this form to document myself for chapter 4 purposes;
- The person named on line 1 of this form is not a U.S. person;
- This form relates to:
 - (a) income not effectively connected with the conduct of a trade or business in the United States;
 - (b) income effectively connected with the conduct of a trade or business in the United States but is not subject to tax under an applicable income tax treaty;
 - (c) the partner's share of a partnership's effectively connected taxable income; or
 - (d) the partner's amount realized from the transfer of a partnership interest subject to withholding under section 1446(f);
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country; and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize any withholding agent that can certify that I am the beneficial owner or any withholding agent that can certify that any certification made on this form becomes incorrect.

**** Nur bei Vertretung ankreuzen: „Ich bestätige, dass ich in der Lage bin, für die in Zeile 1 dieses Formulars genannte Person zu unterschreiben.“**

Sign Here I certify that I have the capacity to sign for the person identified on line 1 of this form.

Max Mustermann **(amerikanische Schreibweise)**
Signature of beneficial owner (or individual authorized to sign for beneficial owner) **08-01-2021**
Date (MM-DD-YYYY)

MAX MUSTERMANN
Print name of signer

Hinweis:
Dieses Dokument soll Ihnen bei der Befüllung des Formulars W-8BEN helfen und stellt keine Steuerberatung dar. Sollten Sie Fragen zu Ihrer persönlichen steuerlichen Situation haben, wenden Sie sich bitte an Ihren steuerlichen Berater.